

Annex A

Where kWh is mentioned below, participants can use more other Wh units e.g. MWh, GWh or TWh where appropriate.

Information to be provided	New Phase 3 requirement	New reporting requirement (existing requirement to collect such information in Phases 1-2)
1. Responsible undertaking information		
Name, address, contact details and company registration number of organisation		
Details of global parent and relevant trusts		
Standard Industrial Classification (SIC) code(s) of the responsible undertaking	✓	
Reason for qualification in ESOS in Phase 3 (i.e. based on employee numbers, turnover, balance sheet or inclusion in corporate group)	✓	
2. Corporate group information		
Number of undertakings in the notification		
Names and company registration numbers (where applicable) of all undertakings covered by the notification	✓	
Details of any agreement to consolidate or disaggregate corporate groups (including franchises) and details of any changes to corporate groups		
Additional information on corporate group structure, for example a chart that sets out the structure etc of the group and information on e.g. what type of control	✓	
Standard Industrial Classification (SIC) code of other undertakings in the corporate group, where different to the responsible undertaking	✓	
3. Responsible officer details		
Name, position and contact details (not to be published)		
Confirmation that they have reviewed the results of the assessment and that the organisation has met scheme requirements		
Confirmation that results of the energy audit or alternative compliance route have been shared with subsidiaries (see 9 above)		
4. Lead assessor and other assessors		
Name of lead assessor (not to be published)		
Relevant approved register		
Whether the lead assessor is employed by the participant (or participant group)	✓	
Names of other personnel who have carried out site visits, gathered data and/or drafted parts of the report (not to be published) and whether they are employed by the participant (or participant group)	✓	
5. Use of alternative compliance routes, estimates and sampling		
Use of alternative compliance routes, where applicable		
Notification of estimates used for calculating energy consumption, where applicable		
Notification that the energy audit does not include an analysis based on energy consumption profiles, where applicable		
Details of site sampling – number of site visits, proportion of total and rationale for method	✓	
6. Energy consumption information		
Total Energy Consumption (TEC) in kWh Plus a breakdown in kWh by fuel type and use category (buildings, transport or industrial processes)		✓

Significant Energy Consumption (SEC) in kWh (based on de minimis of 5%) Plus a breakdown in kWh by fuel type and use category (buildings, transport or industrial processes)		✓
If ISO 50001 certification is used, an explanation of how certification scope matches (or otherwise) the scope required by the Significant Energy Consumption and what proportion of the SEC is covered by ISO 50001	✓	
An energy intensity ratio (see Q5 above) for each of buildings, transport and industrial processes and information on estimates used Any additional ratios the organisation elects to include	✓	
7. Energy savings opportunities identified		
Total estimated energy savings in kWh from energy savings opportunities identified in Phase 3, through the energy audit and/or alternative compliance routes		✓
A breakdown in kWh of the opportunities identified by: <ul style="list-style-type: none"> organisation (for group level reports); use category (buildings, transport or industrial processes); and type of opportunity (data quality, energy management practices, behaviour change interventions, training, controls, zero/low cost measures, short-term investments paying back in 3 years or less, longer term investments paying back in more than 3 years) 	✓	
Total estimated savings in kWh achieved by the organisation during Phase 3 (i.e. since the previous ESOS compliance deadline)	✓	
This can be from opportunities identified through ESOS reports, through alternative compliance methods, or through any other means unrelated to ESOS. The estimation of energy savings can either be based on the original estimate in the ESOS report or alternative compliance method, on a subsequent more detailed feasibility study, or by a post-hoc analysis of savings achieved. It should be stated which is used		
A breakdown in kWh of the savings achieved by: <ul style="list-style-type: none"> organisation (for group level reports); use category (buildings, transport or industrial processes); and type of opportunity (data quality, energy management practices, behaviour change interventions, training, controls, zero/low cost measures, short-term investments paying back in 3 years or less, longer term investments paying back in more than 3 years) 	✓	
8. Implementation considerations and government support		
Details of government support received in relation to investments in energy efficiency and/or carbon emissions	✓	
Details of implementation considerations (see Q7 above)	✓	
9. Historic information from Phase 1 and 2 of ESOS (where the participant was required to comply in these phases)		
Total Energy Consumption (TEC) in kWh for each of Phases 1 and 2, Where this is available, broken down by use category (buildings, transport or industrial processes)	✓	
Significant Energy Consumption (SEC) in kWh for each of Phases 1 and 2 Where this is available, broken down by use category (buildings, transport or industrial processes)	✓	
Total estimated energy savings in kWh from energy savings opportunities identified in the ESOS report or alternative compliance method for each of Phases 1 and 2 Where this is available, broken down by use category (buildings, transport or industrial processes)	✓	
Where this is available, total energy savings in kWh achieved in each of Phases 1 and 2	✓	
Voluntary additional information to describe any changes to the organisation between Phases 1,2 and 3	✓	